

**MANAGEMENT DECISIONS ON AUDIT REPORTS
NOT IMPLEMENTED WITHIN ONE YEAR
AS OF SEPTEMBER 30, 2008**

Report Number/ Date Issued	Audit Report Title	Management Improvements		Status of Final Action
		Recommended	Implemented	
<u>ATF</u> 05-30 06/28/05	The Bureau of Alcohol, Tobacco, Firearms and Explosives' National Integrated Ballistic Information Network	12	10	The Bureau of Alcohol, Tobacco, Firearms and Explosives (ATF) is pursuing several corrective measures to strengthen its promotional efforts for improving participation in the National Integrated Ballistic Network.
06-15 03/09/06	Follow-up Audit of the Bureau of Alcohol, Tobacco, Firearms and Explosives Forensic Science Laboratories Workload Management	7	3	The ATF is implementing corrective actions to address its backlog of forensic cases, managing workloads from resource-intensive cases, and managing all incoming evidence submissions.
06-37 09/29/06	Management of Seized Assets by the Bureau of Alcohol, Tobacco, Firearms and Explosives	5	4	The ATF is completing corrective actions related to tracing firearms taken into custody since July 2005 through the National Tracing Center.
<u>BOP</u> 03-25 07/15/03	Select Application Controls Review of the Federal Bureau of Prisons' Sentry Database System	7	6	The Bureau of Prisons (BOP) is configuring the SENTRY workstations to restrict authorized users' access to the areas they have been authorized to access.
04-16 03/09/04	The Federal Bureau of Prisons Inmate Release Preparation and Transitional Reentry Programs	13	10	The BOP continues its efforts to strengthen inmate release preparation and reentry programs in order to provide inmates the necessary skills to successfully reenter their communities.

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GR-40-07-003 03/27/07	The Bureau of Prisons' Management of the Medical Services Contract with Medical Development International Butner, North Carolina Contract No. DJB10611-00	10	9	The BOP has completed the necessary corrective actions; however, it continues to work on addressing the cost exceptions noted in the audit report.
<u>CRM</u> GR-50-07-002 12/13/06	Use of Equitable Sharing Revenues by the Iowa Department of Public Safety, Des Moines, Iowa	4	3	The Criminal Division's (CRM) Asset Forfeiture and Money Laundering Section (AFMLS) is working with the grantee to remedy the cost exceptions related to unsupported and unallowable expenditures.
<u>DEA</u> 03-35 09/30/03	The Drug Enforcement Administration's Implementation of the Government Performance and Results Act	7	5	The Drug Enforcement Administration (DEA) is implementing a system to collect, analyze and report performance data. In addition, the DEA is developing procedures and controls for data verification.
04-17 03/16/04	Follow-up Audit of the Drug Enforcement Administration's Laboratory Operations 15	15	14	The remaining audit recommendation can be closed once the DEA moves its Southeast Laboratory to a more appropriate facility.
04-36 09/23/04	The Drug Enforcement Administration's Management of Enterprise Architecture and Information Technology Investments	7	6	The DEA is implementing corrective measures for managing the Enterprise Architecture to ensure that IT investments are well integrated, cost effective and supportive of the DEA mission.

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05-25 05/25/05	The Drug Enforcement Administration's Payments to Confidential Sources	15	12	The DEA is implementing an automated system for tracking payments made to confidential sources. In addition, the DEA is formalizing its process for reconciling all payments made to confidential sources.
07-06 01/04/07	The Drug Enforcement Administration's Handling of Cash Seizures	7	4	The DEA is implementing policies related to the timely transport of seized cash to the banks.
FBI 02-38 02/13/03	Review of the Federal Bureau of Investigation's Counterterrorism Program	14	13	Report closure is dependent upon the implementation of the United Financial Management System (UFMS) in the Federal Bureau of Investigation (FBI). The OIG has suspended followup for the report until the UFMS is implemented in FY 2011 or later.
03-37 09/30/03	Federal Bureau of Investigation Casework and Human Resource Allocation	7	5	The FBI is implementing a virtual case file system to replace its automated case support system.
04-18 03/31/04	Federal Bureau of Investigation Legal Attache Program	7	6	The FBI is implementing corrective measures to improve the Legal Attache Program.
05-07 02/01/05	The Federal Bureau of Investigation's Management of the Trilogy Information Technology Modernization Project	9	8	The FBI replaced the Trilogy system with the Sentinel system. Consequently, the OIG is monitoring the report through its follow-up on OIG audit report 07-03, Sentinel Audit II: Status of FBI's Case Management System.

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05-20 05/03/05	The Federal Bureau of Investigation's Efforts to Hire, Train and Retain Intelligence Analysts	15	5	The OIG is conducting a follow-up audit that will specifically address the recommendations in this audit report. As a result, the OIG suspended follow-up related to this audit report.
05-34 08/01/05	Review of the Terrorist Screening Center's Efforts to Support the Secure Flight Program	5	4	The FBI is coordinating with the Transportation Security Administration to address the remaining audit recommendation.
05-37 09/30/05	The External Effects of the Federal Bureau of Investigation's Reprioritization Efforts	7	6	The FBI is implementing the Strategic Management System , a system designed to integrate strategic planning across operational and administrative objectives while focusing attention on key initiatives requiring immediate attention.
06-13 03/06/06	The Implementation of the Communications Assistance for Law Enforcement Act	6	3	The FBI is implementing several initiatives that will enable it to successfully and effectively implement the Communications Assistance for Law Enforcement Act.
06-14 03/09/06	The Federal Bureau of Investigation's Pre-Acquisition Planning For and Controls Over the Sentinel Case Management System	7	4	Follow-up for this audit has been suspended while the OIG conducts follow-up audits. However, the OIG will continue to monitor the status of the three open recommendations.
06-26 03/31/06	The Federal Bureau of Investigation's Efforts to Protect the Nation's Seaports	18	17	The FBI is examining various high risk scenarios to determine response time and how FBI resources would address the scenarios.
06-32 05/22/06	Combined DNA Index System Operational and Laboratory Vulnerabilities	22	20	The FBI is implementing several initiatives to address the weaknesses identified by the OIG.

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07-18 02/09/07	The Federal Bureau of Investigation's Control Over Weapons and Laptop Computers Follow-up Audit	13	4	The FBI is implementing various constructive measures to fortify its controls over weapons and laptop computers.
07-30 04/20/07	Follow-up Audit of the Federal Bureau of Investigation's Efforts to Hire, Train and Retain Intelligence Analysts	3	2	The FBI is implementing measures to require mandatory evaluations of analysts training, The FBI will use the evaluations to identify any necessary improvements in the curriculum.
07-40 08/28/07	Sentinel III: Status of the Federal Bureau of Investigation's Case Management System	9	7	The FBI is developing and implementing corrective measures related to the collection and reporting of EVM data and effectiveness measures for risk mitigation plans.
07-41 09/05/07	Follow-up Audit of the Terrorist Screening Center	18	15	The FBI is working aggressively to redress the weaknesses identified by the OIG and strengthen the performance of the Terrorist Screening Center.
GR-40-06-006 05/26/06	Compliance with Standards Governing Combined DNA Index System Activities at the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory, Nashville, Tennessee	3	2	The FBI is working with the Tennessee Bureau of Investigation Forensic Services Division Nashville Laboratory to review all forensic profiles to ensure that all profiles in NDIS are allowable and all specimen identification numbers are complete and accurate.
GR-40-07-004 05/11/07	Compliance with Standards Governing Combined DNA Index System Activities at the Richland County Sheriff's Department DNA Laboratory Columbia, South Carolina	3	2	The OIG will close this audit report once the FBI provides documentation showing that the Laboratory has implemented planned procedures regarding eligibility requirements for matches.

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GR-50-07-005 07/09/07	Compliance with Standards Governing Combined DNA Index System Activities at the Missouri State Highway Patrol Crime Laboratory Division Jefferson City, Missouri	3	2	The FBI is coordinating with the Laboratory to review forensic profiles uploaded into NDIS prior to the FBI's September 2006 guidance to ensure the profiles are allowable for upload to the NDIS.
GR-80-07-012 09/28/07	Compliance with Standards Governing Combined DNA Index System Activities at the Oklahoma State Bureau of Investigation, Oklahoma Central Regional Crime Laboratory, Oklahoma City, Oklahoma	1	0	The FBI is working with the Laboratory to ensure that they submit the required audit reports in a timely manner.
<u>JMD</u> 01-01 11/06/00	Departmental Critical Infrastructure Protection	4	1	The Justice Management Division (JMD) is compiling documentation on the development of the Department's mission essential inventory and the completion of the related vulnerability assessments and remediation plans.
02-31 08/01/02	The Department of Justice's Control Over Weapons and Laptop Computers	13	12	JMD continues to work on the development and implementation of the DOJ lifecycle management policy.
04-05 11/13/03	Departmental Critical Infrastructure Protection Implementing Plans to Protect Cyber-based Infrastructure	26	22	JMD is coordinating with DOJ components to implement the requisite corrective actions for remedying the weaknesses identified by the OIG.

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05-22 05/03/05	The Joint Automated Booking System	6	4	JMD is implementing various measures to enhance the ABS fingerprint quality screening procedures. JMD is also implementing requirements for booking federal offenders through the Joint Automated Booking System.
07-15 01/25/07	Asset Forfeiture Fund and Seized Asset Deposit Fund Annual Financial Statement Fiscal Year 2006	3	1	The OIG will reassess the status of the open recommendations during the annual financial statement audit testing.
07-37 06/20/07	Identification and Review of the Department's Major Information Technology Systems Inventory	3	1	JMD is working with the departmental component Chief Information Officers to develop and implement cost effective mechanism for reporting accurate, complete and verifiable costs for the individual IT systems.
07-39 08/07/07	Audit of the Department of Justice Information Technology Studies, Plans and Evaluations	5	1	JMD is assessing the process and standards for producing IT studies, plans and evaluations for individual IT projects and will implement corrective actions, as necessary, to enhance the process.
<u>OFDT</u> 05-04 12/17/04	The Department of Justice Office of the Federal Detention Trustee	11	9	The Office of the Federal Detention Trustee (OFDT) is implementing several initiatives that will clearly define its role, mission and functions and address various detention issues.

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<u>OJP</u> 07-21 03/26/07	Office of Justice Programs Annual Financial Statement Fiscal Year 2006	25	24	The OIG will reevaluate the status of the Office of Justice Programs' recommendations during the annual financial statement audit testing.
07-22 03/26/07	Office of Justice Programs National Law Enforcement and Corrections Technology Centers	3	1	OJP program management is reviewing the NLECTC-Rocky Mountain practices to determine if there is a conflict of interest or an appearance of a conflict of interest. If there is a conflict, OJP will establish proper controls to address the conflict.
<u>OVC</u> GR-80-07-007 04/26/07	Services for Trafficking Victims Discretionary Grant Program Cooperative Agreement Awarded to the YMCA of the Greater Houston Area	7	1	The Office of Violence Against Women (OVW) is working with the grantee to rectify the weaknesses identified by the OIG and to address the cost exceptions noted in the report.
<u>OVW</u> GR-30-07-005 09/28/07	Office on Violence Against Women West Virginia Division of Criminal Justice Services Rural Domestic Violence and Child Victimization Enforcement Charleston, West Virginia	8	2	The Office of Violence Against Women (OVW) is working with the grantee to implement the necessary corrective actions to address the audit findings.
GR-60-05-012 09/08/05	Office on Violence Against Women Grant Awarded to the Legal Aid of Nebraska, Omaha, Nebraska	11	5	OVW is coordinating with the grantee to redress the weaknesses and cost exceptions identified by the OIG.

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<u>USMS</u> 04-14 02/18/04	United States Marshals Service Prisoner Medical Care	17	16	The United States Marshals Service (USMS) is coordinating with the OFDT to obtain the necessary funding for the implementation and oversight of the USMS National Managed Care contract.
05-10 03/11/05	United States Marshals Service Administration of the Witness Security Program	21	17	The USMS is implementing various corrective measures that will enable the USMS to manage the Witness Security Program more effectively.
07-01 10/16/06	The United States Marshals Service's Management of the Justice Prisoner and Alien Transportation System	15	11	As recommended by the OIG, the USMS is implementing corrective actions to strengthen its management of the Justice Prisoner and Alien Transportation System.
07-38 07/13/07	The United States Marshals Service's Workforce Planning and Management	15	1	The USMS is working diligently to address the audit recommendations. Several of the recommendations can be closed once the USMS provides documentation of the corrective actions completed or alternative actions taken.
GR-30-96-013 09/30/96	United States Marshals Service Intergovernmental Service Agreement for Detention Facilities with Union County, New Jersey	4	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
GR-80-97-017 09/04/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Torrance County, New Mexico	2	0	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.

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GR-90-98-001 10/10/97	United States Marshals Service Intergovernmental Service Agreement for Detention Services with Pinal County, Arizona at the Corrections' Corporation Central Arizona Detention Facility	2	1	The USMS, OFDT and JMD are coordinating with the Civil Division and the OIG to evaluate potential actions regarding the cost issues in the different jurisdictions.
<u>Multi-Component</u> 05-18 03/25/05	Administration of Department of Justice Grants Awarded to Native American and Alaska Native Tribal Governments	53	44	COPS, OJP and OVW are implementing corrective actions to remedy weaknesses cost exceptions identified by the OIG.
06-36 09/05/06	Department of Justice Purchase Card Expenditures Related to Hurricane Recovery Efforts	18	10	The ATF, BOP, DEA, EOUSA and FBI are implementing corrective action to ensure that cardholder profiles are updated and to reinforce their policies on what items may be purchased with the purchase card, the requirement to document the availability of funds and the retention of supporting documentation.
07-05 12/28/06	The Department of Justice's Grant Closeout Process	44	40	OJP and OVW are working with the grantees to address the cost exceptions identified in the report.
07-17 01/29/07	Efforts to Prevent, Identify, and Recover Improper and Erroneous Payments by Selected Department of Justice Components	20	15	ATF, BOP and JMD are working diligently to implement the corrective actions recommended by the OIG.

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07-20 02/16/07	The Department of Justice's Internal Controls Over Terrorism Reporting	16	8	The Criminal Division and FBI are implementing the OIG's recommended management improvements. These improvements will enable each component to collect and report accurate terrorism statistics.
07-42 09/22/07	Department of Justice Conference Expenditures	14	6	JMD is coordinating with the departmental components to establish a uniform mechanism for calculating and reporting conference expenditures. JMD is also developing department policies related to conference expenditures as well as guidance for DOJ conference planners to follow when planning events.
<u>COPS</u> Various	31 Grant Audit Reports 19 Single Audit Act Reports	397	150	COPS is working with the grantees to remedy the actual cost exceptions and compile the required documentation related to redeployment, retention planning, and supplanting.
<u>OJP</u> Various	36 Grant Audit Reports 32 Single Audit Act Reports	533	294	OJP is coordinating with the grantees to address the deficiencies and cost exceptions identified by the OIG.